

Securing Network Servers

Julia Allen
Klaus-Peter Kossakowski
Gary Ford
Suresh Konda
Derek Simmel

April 2000

SECURITY IMPROVEMENT MODULE
CMU/SEI-SIM-010



Carnegie Mellon
Software Engineering Institute

Pittsburgh, PA 15213-3890

Securing Network Servers

CMU/SEI-SIM-010

Julia Allen
Klaus-Peter Kossakowski
Gary Ford
Suresh Konda
Derek Simmel

April 2000

Networked Systems Survivability Program

Unlimited distribution subject to the copyright.

This report was prepared for the

SEI Joint Program Office
HQ ESC/AXS
5 Eglin Street
Hanscom AFB, MA 01731-2116

The ideas and findings in this report should not be construed as an official DoD position. It is published in the interest of scientific and technical information exchange.

FOR THE COMMANDER



Norton L. Compton, Lt Col., USAF
SEI Joint Program Office

This work is sponsored by the SEI primary sponsor and the US Air Force Computer Resources Support Improvement Program. The original version (February 1999) of this report and the effort to produce it were sponsored by the U.S. Land Information Warfare Activity (LIWA).

The Software Engineering Institute is a federally funded research and development center sponsored by the U.S. Department of Defense.

“CERT” and “CERT Coordination Center” are registered in the U.S. Patent and Trademark Office.

Copyright © 2000 by Carnegie Mellon University.

Requests for permission to reproduce this document or to prepare derivative works of this document should be addressed to the SEI Licensing Agent.

NO WARRANTY

THIS CARNEGIE MELLON UNIVERSITY AND SOFTWARE ENGINEERING INSTITUTE MATERIAL IS FURNISHED ON AN “AS-IS” BASIS. CARNEGIE MELLON UNIVERSITY MAKES NO WARRANTIES OF ANY KIND, EITHER EXPRESSED OR IMPLIED, AS TO ANY MATTER INCLUDING, BUT NOT LIMITED TO, WARRANTY OF FITNESS FOR PURPOSE OR MERCHANTABILITY, EXCLUSIVITY, OR RESULTS OBTAINED FROM USE OF THE MATERIAL. CARNEGIE MELLON UNIVERSITY DOES NOT MAKE ANY WARRANTY OF ANY KIND WITH RESPECT TO FREEDOM FROM PATENT, TRADEMARK, OR COPYRIGHT INFRINGEMENT.

This work was created in the performance of Federal Government Contract Number F19628-95-C-0003 with Carnegie Mellon University for the operation of the Software Engineering Institute, a federally funded research and development center. The Government of the United States has a royalty-free government-purpose license to use, duplicate, or disclose the work, in whole or in part and in any manner, and to have or permit others to do so, for government purposes pursuant to the copyright license under the clause at 52.227-7013.

Use of any trademarks in this report is not intended in any way to infringe on the rights of the trademark holder.

For information about purchasing paper copies of SEI reports, please visit the publications portion of our Web site (<http://www.sei.cmu.edu/publications/pubweb.html>).

Table of Contents

Preface	iii
Securing Network Servers	1
1. Develop a computer deployment plan that includes security issues.	7
2. Include explicit security requirements when selecting servers.	13
3. Keep operating systems and applications software up to date.	17
4. Offer only essential network services and operating system services on the server host machine.	21
5. Configure computers for user authentication.	25
6. Configure computer operating systems with appropriate object, device, and file access controls.	31
7. Identify and enable system and network logging mechanisms.	35
8. Configure computers for file backups.	41
9. Protect computers from viruses and similar programmed threats.	45
10. Configure computers for secure remote administration.	49
11. Allow only appropriate physical access to computers.	53

Preface

This document is one of a series of publications of the Software Engineering Institute at Carnegie Mellon University called *security improvement modules*. They are intended to provide practical guidance to help organizations improve the security of their networked computer systems.

Module structure

Each module addresses an important but relatively narrowly defined problem in network and system security. The first section of the module describes the problem and outlines a set of *security improvement practices* to help solve it. Each practice is a recommended way of performing common tasks related to the secure operation of networked computer systems.

The remaining sections of the module are detailed descriptions of the practices. Each includes a rationale for the recommended actions and a description of how to perform them.

Intended audience

The practices are primarily written for system and network administrators whose day-to-day activities include installation, configuration, and maintenance of the computers and networks. Occasionally, practices are written to assist the managers responsible for network and system administration.

Revised versions

Network and system technologies continue to evolve rapidly, leading to new security problems and solutions. Modules and practices need to be revised occasionally, so to permit more timely publication of new versions, we also publish them on the World Wide Web. At the end of each section of this document is the URL of its Web version.

Implementation details

How an organization adopts and implements the practices often depends on the networking and computing technologies it uses. For some practices, technology-specific implementation details are published on the World Wide Web. The Web version of each practice contains links to the implementation details.

Acknowledgments

Updates to this report and the effort to produce it were sponsored by the US Air Force Computer Resources Support Improvement Program (CRSIP) in collaboration with the Air Force Information Warfare Center (AFIWC). The original version (February 1999) of this report and the effort to produce it were sponsored by the U.S. Land Information Warfare Activity (LIWA).

The authors acknowledge contributions made to this report by the additional authors of version 1:

- Christopher Alberts
- Barbara Fraser
- Eric Hayes
- John Kochmar
- Dwayne Vermeulen

and by the reviewers of this report:

- Jose Linero, AFIWC
- Jeff Carpenter, SEI
- Greg Gravenstreter, SEI
- Eric Hayes, SEI
- Cliff Huff, SEI
- Jerome Marella, SEI
- Larry Rogers, SEI
- Bradford Willke, SEI

Securing Network Servers

The development of computer networks has resulted in an important class of computers: network servers. The primary purpose of these machines is to provide services, including both computational and data services, to other computers on the network.

Because of their service role, it is common for servers to store many of an organization's most valuable and confidential information resources. They also are often deployed to provide a centralized capability for an entire organization, such as communication (electronic mail) or user authentication. Security breaches on a network server can result in the disclosure of critical information or the loss of a capability that can affect the entire organization. Therefore, securing network servers should be a significant part of your network and information security strategy.

Many security problems can be avoided if servers and networks are appropriately configured. Default hardware and software configurations are typically set by vendors to emphasize features and functions more than security. Since vendors are not aware of your security needs, you must configure new servers to reflect your security requirements and reconfigure them as your requirements change.

The practices recommended here are designed to help you configure and deploy network servers that satisfy your organization's security requirements. The practices may also be useful in examining the configuration of previously deployed servers.

A note on terminology

The term "server" is used in this module to mean the combination of the hardware, operating system, network service, application software, and network connection. When it is necessary to be more specific, we explicitly mention one of these five components.

Although this module focuses on securing network servers, many of the practices are also applicable to securing workstations or other computers on your network. To make it easier for these practices to appear in other modules, we use the word "computer" to mean workstations, servers, or other computers. At times, we differentiate between guidance for workstations and guidance for network servers.

Who should read these practices

These practices are applicable to your organization if

- you operate or plan to operate a networked system of workstations that depend on servers for information or computation services
- you operate or plan to operate a public network server (such as a public Web site) connected to an external network (such as the Internet)

We assume that you have the following security requirements for information resources stored on these servers:

- Some or all of the information is sensitive or proprietary. Access must be limited to authorized and properly authenticated users (inside or outside of your organization).
- The integrity of this information is critical. It must not be compromised; that is, not modified by unauthorized users or processes operating on their behalf.
- That information must be readily accessible by authorized users whenever they need it in the course of their work.

We assume that you have these security requirements for the services provided by those servers:

- Only authorized and properly authenticated users may use these services.
- Users must be able to access these services quickly.

What these practices do not cover

These practices help you appropriately configure a network server and its operating system when it is first deployed. They do not attempt to address security issues for

- the particular network service that will be provided by a server. We expect to address specifics of major network services in other modules. See, for example, the modules *Securing Public Web Servers* [Kossakowski 00] and *Deploying Firewalls* [Fithen 99].
- the network to which the server is attached
- day-to-day operations of servers. We address operations in other modules; for example, activities related to detecting signs of intrusion are covered in the modules *Preparing to Detect Signs of Intrusion* [Kochmar 98] and *Detecting Signs of Intrusion* [Firth 97].
- desktop machines that may provide some kind of network service but are used primarily as workstations. Workstation security is addressed in the module *Securing Desktop Workstations* [Simmel 99].
- security of network services provided by third parties under contract with your organization. See the module *Security of Information Technology Service Contracts* [Allen 98].
- security considerations related to any service client software
- wireless networking and its relationship to securing network servers

We assume that the reader is capable of performing the initial setup of the computer: unpacking it, confirming the hardware configuration, installing the default operating system, and attaching the network connection, so we do not explicitly cover those aspects of configuring a network server. We note, however, that *some of the practices are most effective if performed during the process of installing the operating system.*

These practices do not address server physical security in detail (such as protection from theft and natural disasters).

Security issues

There are four major security issues related to network servers:

1. **Confidentiality** — Maintaining the confidentiality of information stored on the server. This includes
 - ensuring that only authorized users can access the services and information
 - ensuring that authorized users can access only the services for which they are authorized
2. **Integrity** — Maintaining the integrity of information stored on the servers. This includes ensuring that you can recognize and recover from breaches of integrity.
3. **Availability** — Maintaining the availability of the services. This includes
 - ensuring that services are uninterrupted even when there are hardware or software failures or during routine system maintenance
 - ensuring that you can recognize and recover from security incidents in a timely manner
4. **Mutual Authentication** — Ensuring that the user is who he claims to be and that the network server host is who it claims to be.

The common security requirements of confidentiality, integrity, and availability, described above, can be especially critical for network servers. For example:

- File servers and database servers are often used to store your organization's most important information resources, which must be kept strictly confidential. Servers may also store information used for management decisions or customer billing, which demands a high level of integrity.
- Authentication servers store information about user accounts and passwords; any disclosure could compromise all the information on all of the hosts in your network.
- Public servers (such as Web servers) can be a major component in the strategy your organization uses to represent itself to the public, so the integrity of the information on those servers is critically important.
- Servers used by customers for electronic commerce must be available and reliable to prevent loss of revenue.
- Servers that provide essential services for employees of your organization must be reliably available; otherwise people may be unable to work.

With respect to mutual authentication, user identities (id, password) can be easily captured with network sniffers when passed in clear text. These identities can then be used by intruders to compromise your servers. Network server host identities can be redirected through IP (Internet protocol) and DNS (Domain Name System) spoofing, resulting in intruders presenting their servers to legitimate users as though they were those belonging to your organization.

There are other aspects of network servers that can make them tempting targets for intruders:

- Public servers often have publicly known host names and IP addresses.
- Public servers may be deployed outside an organization's firewall or other perimeter defenses.
- Servers usually actively listen for requests for services on known ports, and they try to process such requests.

- Servers often do not have an attending administrative user who notices signs of unusual activity.
- Servers are often remotely administered, so they willingly accept connections from privileged accounts.
- Servers often are configured to reboot automatically after some kinds of failures, which can offer opportunities for intruders.

Security improvement approach

To secure a network server, we recommend a three-part approach. It requires implementing security practices in these areas:

1. planning and executing the deployment of servers
2. configuring servers to help make them less vulnerable to attack
3. maintaining the integrity of the deployed servers

The practices are designed to improve security in two major ways:

- They help to maximize security on each network server host, which provides a backup in case of failure of perimeter defenses. Host security is also a first-line of defense against internal threats, which generally have a higher probability of occurrence than external threats.
- They prepare you to better recognize and recover from security breaches.

Summary of recommended practices

Area	Recommended Practice
Planning deployment	<ol style="list-style-type: none"> 1. Develop a computer deployment plan that includes security issues. 2. Include explicit security requirements when selecting servers.
Configuring servers	<ol style="list-style-type: none"> 3. Keep operating systems and applications software up to date. 4. Offer only essential network services and operating system services on the server host machine. 5. Configure computers for user authentication. 6. Configure computer operating systems with appropriate object, device, and file access controls. 7. Identify and enable system and network logging mechanisms. 8. Configure computers for file backups.
Maintaining server integrity	<ol style="list-style-type: none"> 9. Protect computers from viruses and similar programmed threats. 10. Configure computers for secure remote administration. 11. Allow only appropriate physical access to computers.

Abbreviations used in these practices

ACL	access control list
DNS	Domain Name Service
FTP	File Transfer Protocol
HTTP	HyperText Transfer Protocol
IP	Internet Protocol
IPX	Internetwork Packet Exchange
LAN	local area network

NFS	Network File System
NIS	Network Information System
NTP	Network Time Protocol
RPC	Remote Procedure Call
RSH	Remote Shell
SMTP	Simple Mail Transfer Protocol
SNMP	Simple Network Management Protocol
SSH	Secure Shell
TCP	Transmission Control Protocol
WORM	Write Once, Read Many
WWW	World Wide Web

References

- [Allen 98] Allen, Julia, et al. *Security of Information Technology Service Contracts* (CMU/SEI-SIM-003, ADA351646). Pittsburgh, Pa.: Software Engineering Institute, Carnegie Mellon University, 1998. Available at <http://www.cert.org/security-improvement/modules/m03.html>.
- [Firth 97] Firth, Robert, et al. *Detecting Signs of Intrusion* (CMU/SEI-SIM-001, ADA329629). Pittsburgh, Pa.: Software Engineering Institute, Carnegie Mellon University, 1997. Available at <http://www.cert.org/security-improvement/modules/m01.html>.
- [Fithen 99] Fithen, William, et al. *Deploying Firewalls* (CMU/SEI-SIM-008). Pittsburgh, Pa.: Software Engineering Institute, Carnegie Mellon University, 1999. Available at <http://www.cert.org/security-improvement/modules/m08.html>.
- [Kossakowski 00] Kossakowski, Klaus-Peter, et al. *Securing Public Web Servers* (CMU/SEI-SIM-010). Pittsburgh, Pa.: Software Engineering Institute, Carnegie Mellon University, 2000. Available at <http://www.cert.org/security-improvement/modules/m11.html>.
- [Kochmar 98] Kochmar, John, et al. *Preparing to Detect Signs of Intrusion* (CMU/SEI-SIM-005). Pittsburgh, Pa.: Software Engineering Institute, Carnegie Mellon University, 1998. Available at <http://www.cert.org/security-improvement/modules/m05.html>.
- [Kossakowski 99] Kossakowski, Klaus-Peter, et al. *Responding to Intrusions* (CMU/SEI-SIM-006). Pittsburgh, Pa.: Software Engineering Institute, Carnegie Mellon University, 1999. Available at <http://www.cert.org/security-improvement/modules/m06.html>.
- [SANS 99] The SANS Institute. *Solaris Security Step-By-Step Guide Version 1.0*. Information on how to acquire this guide is available at <http://www.sansstore.org> (1999).
- [Simmel 99] Simmel, Derek, et al. *Securing Desktop Workstations* (CMU/SEI-SIM-004). Pittsburgh, Pa.: Software Engineering Institute, Carnegie Mellon University, 1999. Available at <http://www.cert.org/security-improvement/modules/m04.html>.

Where to find updates

The latest version of this module is available on the Web at URL

<http://www.cert.org/security-improvement/modules/m10.html>

1

Develop a computer deployment plan that includes security issues.

Most deployment plans address the cost of the computers, schedules to minimize work disruption, installation of applications software, and user training. In addition, you need to include a discussion of security issues.

Why this is important

You can eliminate many networked systems vulnerabilities and prevent many security problems if you securely configure computers and networks before you deploy them. Vendors typically set computer defaults to maximize available functions, so you usually need to change defaults to meet your organization's security requirements.

You are more likely to make decisions about configuring computers appropriately and consistently when you use a detailed, well-designed deployment plan. Developing such a plan will support you in making some of the hard trade-off decisions between usability and security.

Consistency is a key factor in security, because it fosters predictable behavior. This will make it easier for you to maintain secure configurations and help you to identify security problems (which often manifest themselves as deviations from predictable, expected behavior). Refer to the practice, "Keep operating systems and applications software up to date."

How to do it

Make the decisions described below and then record them.

Note: We assume that you are deploying workstations and servers in an existing infrastructure, which includes an existing network. The security issues related to the network architecture, including where you place servers and workstations on the network, are outside the scope of this practice. As a general rule, subnets with differing security policies require some form of network separation such as firewalls (refer to *Deploying Firewalls* [Fithen 99]).

➤ *Identify the purpose of each computer.*

Document how the computer will be used. Consider the following:

- What categories of information will be stored on the computer?
- What categories of information will be processed on the computer (but retrieved from and stored on another computer)?
- What are the security requirements for that information?

- What network service(s) will be provided by the computer?
- What are the security requirements for those services?

► *Identify the network services that will be provided on the computer.*

The network services you list in your deployment plan may include electronic mail, access to the Web, domain name services, file transfers, and access to corporate databases. For each service, document whether the computer will be configured as a client, a server, or both (such as in the case of file and printer sharing).

Clients: Workstations are normally configured as clients for several network services. You should document the planned behavior of those clients: the levels of access required, the type of access (read, write, etc.), and other aspects of the configurations required for client software.

Servers: As a general rule, a network server should be dedicated to a single service. This usually simplifies the configuration, which reduces the likelihood of configuration errors. It also can eliminate unexpected and unsafe interactions among the services that present opportunities for intruders.

In some cases, it may be appropriate to offer more than one service on a single host computer. For example, the server software from many vendors combines the file transfer protocol (FTP) and the hypertext transfer protocol (HTTP) services in a single package. For some organizations, it may be appropriate to provide access to public information via both protocols from the same server host but we do not recommend this as it is a less secure configuration.

► *Identify the network service software, both client and server, to be installed on the computer.*

Many operating system vendors bundle network service software for both clients and servers. You may choose to use those packages. For major services, however, third party vendors may provide products that offer much better security. When making your choice, pay special attention to the ability of candidate packages to meet your security requirements, and document your selection.

Identify other application or utility software that will be installed on the computer. Include not only user-oriented application software, but also system-related software and security-related software. The module *Preparing to Detect Signs of Intrusion* [Kochmar 98] provides details on selecting some kinds of security-related software.

► *Identify the users or categories of users of the computer.*

For workstations, you will sometimes be able to identify an individual who will be the primary user; but more often, you will have to define categories of users. The categories are based on user roles that reflect their authorized activity. The roles are often based on similar work assignments and similar needs for access to particular information resources—system administrators, software developers, data entry personnel, etc. If appropriate, include categories of remote users and temporary or guest users.

For network servers, document the categories of users that will be allowed access to the provided services. For public servers connected to the Internet, the category of users is probably everyone. For internal servers, you may need to categorize users by their organizational department, physical location, or job responsibilities. You also need a category of administrative users who will need access to administer the network server and possibly another category for backup operators.

Normally, access to network servers should be restricted to only those administrators responsible for operating and maintaining the server.

In general, you should prevent the use of a network server as a workstation. This will ensure that the server's users are restricted to those who are authorized to access the provided service and responsible for server administration. Correspondingly, you should prevent having network services reside on and be provided by a user workstation. General users are typically not trained in network service administration.

➤ *Determine the privileges that each category of user will have on the computer.*

To document privileges, create a matrix that shows the users or user categories (defined in the previous step) cross-listed with the privileges they will possess. The privileges are customarily placed in groups that define what system resources or services a user can read, write, change, execute, create, delete, install, remove, turn on, or turn off.

➤ *Decide how users will be authenticated and how authentication data will be protected.*

For workstations, it is normal to authenticate users via the authentication capability provided with the operating system.

For network servers, there are usually two kinds of authentication: (1) the kind provided with the operating system, commonly used for authenticating administrative users and (2) the kind provided by the network service software, commonly used for authenticating users of the service. A particular software implementation of a network service may use the provided authentication capability, and thus it may be necessary for users of that service to have a local identity (usually a local account) on the server.

Authentication mechanisms can be both procedural and technological. The most common approach is the use of passwords; but other mechanisms can be used, such as keys, tokens, and biometric devices (devices that recognize a person based on biological characteristics such as fingerprints or patterns of the retinal blood vessels).

Because authentication mechanisms like passwords require information to be accessible to the authentication software, carefully document how that information will be protected. Authentication data is critical security information that requires a high level of protection.

➤ *Determine how appropriate access to information resources will be enforced.*

For many resources, such as program and data files, the access controls provided by the operating system are the most obvious means to enforce access privileges. Also, consider using encryption technologies to protect the confidentiality of sensitive information. In some cases, protection mechanisms will need to be augmented by policies that guide user's behavior related to their workstations.

See also the practice "Configure computer operating systems with appropriate object, device, and file access controls."

➤ *Develop intrusion detection strategies for the computer.*

Many of the common intrusion detection methods depend on the existence of various logs that your systems produce and on the availability of auditing tools that analyze those logs. In your deployment plan, describe the kinds of information that will be collected and managed on each computer in support of security. This will help you install the appropriate software tools and configure these tools and the operating system to collect and manage the necessary information.

This topic is elaborated in the security improvement module *Preparing to Detect Signs of Intrusion* [Kochmar 98].

- *Document procedures for backup and recovery of information resources stored on the computer.*

Possessing recent, secure backup copies of information resources makes it possible for you to quickly restore the integrity and availability of information resources. Successful restoration depends on configuring the operating system, installing appropriate tools, and following defined operating procedures. You need to document backup procedures including roles, responsibilities, and how the physical media that store the backup data are handled, stored, and managed. Consider using encryption technologies to protect backups.

Your backup procedures need to account for the possibility that backup files may have been compromised by an undetected intrusion. Verify the integrity of all backup files prior to using them to recover systems.¹

For some network servers, such as those providing public services like the World Wide Web, it is common to develop the information content used by those services on a different host. The authoritative version of this content is maintained (and backed up) on this second computer, and then transferred to the public server. This method makes it unnecessary to perform file backups of the Web page content itself. If the information is ever compromised, you can restore it by transferring a copy from the authoritative version. However, backups of Web server logs are still required. Backups of configuration and installation information are also required unless you have a configuration management system that can be used to recover or rebuild a system from a trusted baseline.

For more information, refer to the practice “Configure computers for file backups.”

- *Determine how network services will be maintained or restored after various kinds of faults.*

To maintain the availability of services essential to your business, you generally need some level of redundancy. For example, you may want to specify when to use hot, warm, and cold backups. Hot backups provide the capability to immediately switch configurations because the backup system is run in parallel with the primary system. Warm backups require some degree of reconfiguration before you use them since they are not run in full parallel with operational systems. You must start cold backups from a shutdown state and bring them up to date before using them.

Ensure, as part of your plan, that no single failure (power supply, hardware, software, etc.) will make an essential service unavailable for a period of time you consider unacceptable.

- *Develop and follow a documented procedure for installing an operating system.*

In your procedure, include steps to implement all the decisions you made in the steps above and describe all the parameters that are set during installation.

In many cases, the parameters are recorded in scripts or configuration files that are executed or read during various phases of the installation. Make all your parameter choices explicit, even if they match the vendor’s current default settings. (This may seem to be unnecessary, but it can prevent security problems if you subsequently reuse your

1. Refer to the module *Responding to Intrusions* [Kossakowski 99], specifically the practice “Return systems to normal operation,” available at <http://www.cert.org/security-improvement/practices/p051.html>.

scripts or configuration files to configure workstations and servers.) Your explicit choices will still be used even if the vendor's defaults have changed with new releases. Your installation procedure should also specify the vendor's security-related updates or patches that are to be applied to the operating system.

If possible, have a single person perform the installation procedure for each computer and capture each installation step in a documented manner (such as through using a checklist).

Refer to the implementation "Installing and securing Solaris 2.6 servers"² and the *Solaris Security Step-By-Step Guide Version 1.0* [SANS 99] for guidance on securing Solaris servers.

➤ *Determine how the computer will be connected to your network.*

There are concerns relating to network connections that can affect the configuration and use of any one computer.

LANs: Many organizations use a broadcast technology such as Ethernet for their local area networks. In these cases, information traversing a network segment can be seen by any computer on that segment. This suggests that you should only place "trusted" computers on the same network segment, or else encrypt information before transmitting it.³

Modems: Modems permit direct connectivity between one of your computers (and thus, potentially, your internal network) and the external networks reachable by the public telephone network. Many organizations forbid users to attach a modem to a workstation. We recommend that you do not allow users to attach a modem to their workstation any time it is connected to your internal network.

It is also important to document the use of modems on a network server. As a general rule, do not attach modems to any servers other than servers whose purpose is to provide dial-in access. Some vendors may require direct modem access to provide some level of service. In this case, we recommend establishing procedures to enable the vendor to access the server via modem, and disconnecting the modem when it is not in use. You should require strong user authentication methods such as one-time passwords or token-based systems for this type of access.

➤ *Identify the security concerns related to day-to-day administration of the computer.*

If your organization is small, it may be feasible to administer both workstations and network servers individually from their consoles. We recommend this method because it is the most secure.

In most cases, however, workstations and servers are some distance from the offices of the system administrators. As a result, a significant amount of day-to-day administration is done from the administrator's workstation via the network.

2. Available at <http://www.cert.org/security-improvement/implementations/i0027.02.html> in April 2000.

3. However, note that most commonly used network protocols based on TCP/IP require at least part of the information in a packet (source, destination, port) to be unencrypted, which exposes the network to traffic analysis by a sniffer. By using specific protocols, such as IPSEC in tunneling mode, you can further reduce the amount of cleartext information thereby reducing the potential for traffic analysis.

Providing the means for secure remote administration⁴ typically requires configuring the operating system and installing various software tools. This could include configuring the tools to encrypt administration commands and data that traverse the network between the target computer and the administrator's workstation. You need to define and document your administration procedures to configure the computer appropriately.

➤ *Identify actions to protect information contained on hardware that is no longer in use.*

Determine the steps you need to take to ensure that the information contained on hardware being updated, replaced, removed from service, or disposed of is eliminated (as much as possible). For example, erase and reformat disks, rewrite tapes, and clear firmware passwords. The extent of your actions is dependent upon the sensitivity of the information. You may need to physically destroy hardware containing highly sensitive information to ensure that the hardware cannot be used and that the information cannot be accessed.

➤ *Keep your computer deployment plan current.*

You need to update your computer deployment plan when relevant changes occur. Sources of change may include new technologies, new security threats, updates to your network architecture, the addition of new classes of users or new organizational units, etc.

Policy considerations

Your organization's security policy for networked systems should require that

- a detailed computer deployment plan be developed, implemented, and maintained whenever computers are being deployed (or redeployed)
- access to your deployment plan only be given to those who require the information to perform their jobs
- all new and updated servers be installed, configured, and tested in a stand-alone mode or within test networks (i.e., not connected to operational networks)
- all servers present a warning banner to all users indicating that they are legally accountable for their actions and, by using the server, they are consenting to having their actions logged.

Where to find updates

The latest version of this practice, plus implementation details for selected technologies, is available on the Web at URL

<http://www.cert.org/security-improvement/practices/p065.html>

4. Refer to the practice "Configure computers for secure remote administration."

2 ***Include explicit security requirements when selecting servers.***

It is common to consider factors such as functionality, price, performance, and capacity when selecting computing technology. When you specify the requirements for selecting servers for your organization (including the host machine hardware, operating system, and server software), you should also include security requirements.

Why this is important

There are many server vendors, and the security capabilities of their products vary. Many of the known and frequently exploited network server vulnerabilities apply only to certain products and platforms. If you consider security requirements when selecting servers, you may be able to choose products with fewer vulnerabilities or select better security-related features, which can result in a substantially more secure site. This makes the long-term operation of your site more economical because you can reduce the costs associated with administration tasks (such as patching systems) as well reduce costs caused by intrusions and their effects.

Background information

The selection of server products requires you to make trade-offs among competing requirements. To do this, you must first understand your organization's requirements.

For a general-purpose server, important performance requirements include response time (typically measured in terms of the number of connections per second that the server will allow) and throughput (typically measured in terms of the number of service responses that can be delivered to the network).

Typical functionality requirements include the ability to provide a range of services (such as Web, email, DNS, FTP, database access) and the ability to receive and process user information (such as authentication and access control). In addition, you will likely want the ability to administer the server software and the host system from another host on your network and, perhaps, remotely from outside of your internal network.

Availability of experienced staff to administer your server and server products is a critical factor to consider in server selection. It is generally preferable to have capable, knowledgeable administrators working in a less secure, but known, server environment than to have to train current staff in the use of a more secure, but unknown, server environment — unless, of course, you plan to make a longer term investment in the technology of the unknown environment.

Security requirements typically include the following:

- the absence of vulnerabilities used by known forms of attack against server hosts
- the ability to restrict administrative activities to authorized users only

- the ability to deny access to information on the server other than that intended to be available
- the ability to disable unnecessary network services that may be built into the operating system or server software
- the ability to control access to various forms of executable programs (such as CGI scripts and server plug-ins in the case of Web servers)
- the ability to log appropriate server activities for purposes of detecting intrusions and attempted intrusions

How to do it

- *Identify your functionality and performance requirements.*

Document the operating system features needed, even if you are confined to using one vendor's operating system. Include the requirements for both general security features (such as capabilities for user authentication and file access controls) and for special security features (such as an encrypting file system, or a built-in feature to erase memory and disk blocks before reallocating them).

Document the applications software you intend to run on the server.

Given the operating system and applications software features, document the needed hardware, including the processor architecture, memory requirements, secondary storage requirements (such as hard disk drives and removable-medium drives), networking requirements (such as modems or Network Interface cards), and console requirements. Include requirements for server expansion and growth (ensure the hardware can accommodate this).

Document the hardware configuration. This will aid you in selecting and securely configuring the software.

- *Review the recommended practices that address the configuration and operation of the server product. Note the kinds of security problems that those practices are intended to help you avoid.*
 - *Where available, look at the sample implementations of those practices.*
- Determine whether the implementations for a particular product are simple or complex, inexpensive or costly. This will aid you in performing necessary trade-offs.
- *Based on your organization's security needs, identify specific security-related features that you want in the server product you will be selecting.*
- This may include types of authentication, levels of access control, support for remote administration, and logging features.
- *Check with available sources of incident data to help determine the likelihood of particular kinds of incidents and the vulnerabilities of specific servers.*

Vendor Web sites often contain this information. CERT^{®1} advisories, summaries, vulnerability notes, and incident notes² will occasionally present information about new vulnerabilities in server software.

1. Registered in the U.S. Patent and Trademark Office.

2. See <http://www.cert.org>.

The vendor sites may also present information about the operating systems under which they operate.

- *Identify candidate products that meet your functionality, performance, and security requirements.*
- *Estimate the differences in operating costs of competing products, including the business costs of potential security incidents and the amount of staff effort required to operate, maintain, and use each product.*

A useful way to make an informed decision is to compare the costs of installing and maintaining security products with the costs of staff time to identify, analyze, and recover from a security incident, including lost productivity of other staff during the time they cannot use any compromised systems.

- *Select the technology that you believe offers the best balance of functionality, performance, security, and overall cost.*

Policy considerations

Your organization's networked systems security policy should require a security evaluation as part of your computing and network technology selection procedures³.

In addition, we recommend that your organization's purchasing guidelines mandate the specification of security requirements for all computing and network technologies.

Where to find updates

The latest version of this practice, plus implementation details for selected technologies, is available on the Web at URL

<http://www.cert.org/security-improvement/practices/p066.html>

3. Refer to a US Government publication titled *Information Security Risk Assessment, Practices of Leading Organizations* (GAO/AIMD-00-33). This was published by the United States General Accounting Office in Washington, D.C. in November 1999.

3

Keep operating systems and applications software up to date.

You need to stay informed of vendors' security-related updates to their products, which may be called updates, upgrades, patches, service packs, hot fixes, or workarounds. Whenever an update is released, you need to evaluate it, determine if it is applicable to your organization's computers, and, if so, install it.

Why this is important

Because software systems are so complex, it is common for security-related problems to be discovered only after the software has been in widespread use. Although most vendors try to address known security flaws in a timely manner, there is normally a gap from the time the problem is publicly known, the time the vendor requires to prepare the correction, and the time you install the update. This gap gives potential intruders an opportunity to take advantage of this flaw and mount an attack on your computers and networks. To keep this time interval as short as possible, you need to stay aware of

- announcements of security-related problems that may apply to your systems
- immediate steps you can take to reduce your exposure to the vulnerability, such as disabling the affected software
- permanent fixes from vendors

Installing applicable vendors' updates can reduce your vulnerability to attack.

How to do it

- *Develop and maintain a list of sources of information about security problems and software updates for your system and application software.*

The most common sources of current information include Web sites of vendors and computer- and network-security organizations.¹ There are also mailing lists, some of which are sponsored by vendors, and USENET news groups.

Lists and Web sites appear, disappear, and change frequently. You need to ensure that the sources you consult are up-to-date.

- *Establish a procedure for monitoring those information sources.*

In the case of mailing lists, you usually receive announcements about security problems

1. For example, the CERT/CC site at <http://www.cert.org> and *Preparing to Detect Signs of Intrusion* [Kochmar 98], specifically the implementation "Maintaining currency by periodically reviewing public and vendor information sources." This implementation is available at <http://www.cert.org/security-improvement/implementations/i040.01.html>. Also, refer to <http://www.sans.org> to subscribe to their various mailing lists.

and software updates soon after they are available. Web sites vary considerably in the timeliness of their announcements, so you need to decide how often to look for information there. Some of the news-oriented Web sites are updated one or more times a day, so daily monitoring is recommended.

➤ *Evaluate updates for applicability to your systems.*

Not all updates are applicable to the configuration of the computers and networks in your organization and to your organization's security requirements.

Evaluate all the updates to determine their applicability, and weigh the cost of deploying an update against the benefits. Keep in mind that failure to install a vendor patch may result in a known vulnerability being present in your operational configuration.

➤ *Plan the installation of applicable updates.*

The installation of an update can itself cause security problems:

- During the update process, the computer may temporarily be placed in a more vulnerable state.
- If the update is scheduled inappropriately, it might make a computer or information resources unavailable when needed.
- If an update must be performed on a large number of computers, there can be a period of time when some computers on the network are using different and potentially incompatible versions of software, which might cause information loss or corruption.
- The update may introduce new vulnerabilities.

Updates can also cause a number of problems in other installed software. You may want to consider running a previously developed regression test suite to compare current performance with past performance. Another approach is to install the update in an isolated test environment and run a series of user trials before releasing the update on your operational systems.

Software packages are available that show you the differences in the system as a result of installing the update. We recommend that you use one of these to fully understand and analyze the effects of the update on your systems.

In addition, you should always backup your system prior to applying any updates.

Any method of updating that depends on an administrator physically visiting each computer is labor intensive but will work for networks with a small number of computers. You will need to employ automated tools to roll-out updates to a large number of computers. Some of these tools are provided by vendors for their specific products. You may need to develop tools that are tailored to your environment if vendor tools are insufficient.

Given the number and diversity of operating systems and applications, the update process can become unmanageable if it is not supported by appropriate levels of automation. This may result in updates not being performed, which in turn places your systems at risk by allowing intruders to take advantage of known vulnerabilities.

When using automated tools to roll-out updates, the affected computers and the network are likely to be vulnerable to attack during the update process.

To lessen this vulnerability, you should use only an isolated network segment when propagating the updates or consider using secure connectivity tools such as SSH².

- *Install the updates using a documented plan.*

Follow the plan developed in the previous step. This helps ensure that you deploy computers consistently throughout your organization.

- *Deploy new computers with up-to-date software.*

When new workstations and network servers are being deployed, it is common to install the operating system and other software from the original distribution media supplied by vendors. However, those software versions may not include recent security-related updates. Maintain an archive of updates that you have evaluated and chosen to install on existing computers, so that you can install them on new computers before deployment.

Also acquire and install the most up-to-date driver software (often available from vendors' Web sites) for all components and peripheral devices. Those drivers typically address performance and security issues that have been discovered since the components were packaged and shipped from the factory. Be sure to read all the release documentation associated with the updated drivers before using them. Also, whenever possible, verify the integrity and authenticity of the new driver software, using methods such as cryptographic checksums supplied by the vendor.

- *After making any changes in a computer's configuration or its information content, create new cryptographic checksums or other integrity-checking baseline information for that computer.*

Integrity checking tools (such as Tripwire³) can identify changes made to files and directories when you install updates. By creating a baseline again and subsequently monitoring these changes, you can learn more about how the system is working and, over time, identify unexpected changes that require further investigation.

Refer to the modules *Detecting Signs of Intrusion* [Firth 97] and *Preparing to Detect Signs of Intrusion* [Kochmar 98] for additional information on the role of checking the integrity of baseline information to support intrusion detection.

Policy considerations

Your organization's security policy for networked systems should require that system administrators monitor the need for necessary security-related software updates and install them in a timely manner.

Other information

It is possible that you may not have enough information to decide whether or not to apply an update. You also may not have a comprehensive test environment in which to evaluate the effects of an update. If either of these is the case, we recommend that you implement the steps in this practice to an extent that is both possible and practical. You should then try to recognize and manage any remaining risks of exposure.

2. Refer to the implementation "Installing, configuring, and operating the secure shell (SSH) on system running Solaris 2.x" available at <http://www.cert.org/security-improvement/implementations/i062.01.html>.

3. Refer to <http://www.cert.org/security-improvement/implementations/i002.02.html>.

Where to find updates

The latest version of this practice, plus implementation details for selected technologies, is available on the Web at URL

<http://www.cert.org/security-improvement/practices/p067.html>

4 ***Offer only essential network services and operating system services on the server host machine.***

Ideally, each network service should be on a dedicated, single-purpose host. Many computers are configured by default to provide a wider set of services and applications than required to provide a particular network service, so you may need to configure the server to eliminate or disable them.

Why this is important

Offering only the essential network services on a particular host can enhance your network security in several ways:

- Other services cannot be used to attack the host and impair or remove desired network services. Each additional service added to a host increases the risk of compromise for all services on that host or for any computer trusting that host.
- Different services may be administered by different individuals. By isolating services so each host and service has a single administrator, you will minimize the possibility of conflicts between the administrators (also known as separation of duties).
- The host can be configured to better suit the requirements of the particular service. Different services might require different hardware and software configurations, which could lead to needless vulnerabilities or service restrictions.
- By reducing services, the number of logs and log entries is reduced, so detecting unexpected behavior becomes easier.

How to do it

We strongly recommend that you use the configuration principle “deny first, then allow.” That is, turn off as many services and applications as possible and then selectively turn on only those that are absolutely essential. We recommend you install the most minimal operating system configuration image that meets your business requirements.

- *Determine the functions that you intend to support with your network server.*

The services you enable on a selected host depend on the functions you want the host to provide. Functions could support the selected network service, other services hosted on this computer, or development and maintenance of the operating system and applications. Providing multiple services or combining the role of workstation and server on the same machine results in a less secure configuration and makes security maintenance more difficult.

Determine the configuration of the host for

- file systems (e.g., whether any file services will be used by this host. NFS should be avoided as it has many known vulnerabilities.)
- system maintenance (e.g., with multiuser systems, whether all maintenance will be done only via the console or remotely)

- server maintenance. In most cases, all server software maintenance should be done on another host and the updated files downloaded to this host. No compilers, editors, interpreters, shells, scripts, or other programming tools should be available on a server providing specific services or applications (as contrasted with a server being used for internal development).¹
- network configuration (DNS vs. NIS). Consider including a list of trusted hosts and other computers which will be in communication with your computer. This helps protect against DNS spoofing. Be aware that this approach will take more time; information is replicated and any updates must be performed on each host to keep this information consistent.
- protocols offered (IP, IPX, AppleTalk, DecNet, etc.)
- printing. Some printing subsystems have known vulnerabilities and should be removed or disabled, where possible.

➤ *If there are alternative ways of providing the same function, select the more secure way.*

For example, on UNIX systems, connectivity for remote system maintenance (i.e., not from the console) could be supported using *remote shell* (RSH) or *secure shell* (SSH).² We recommend disabling all r-services³ due to their inherent vulnerabilities (use of IP addresses for authentication). Therefore, SSH is the more secure alternative and should be selected.

Use wrapper tools such as TCP wrapper⁴ for controlling access to selected services by IP address and to log all connection attempts to those services (such as telnet). Be aware that TCP wrapper does not protect against IP spoofing; however, such connection attempts and successful connections would be logged.

➤ *Once you determine the minimal set of services and applications, ensure that only those are installed on the host.*

Either do not install unnecessary services or turn the services off and remove the corresponding files (and any other unnecessary files) from the host. Be careful with network service programs. Some provide multiple services and you will have to reconfigure them or disable unneeded services. For example, Web server software often includes FTP along with HTTP. Disable FTP if you do not intend to support file transfers to and from your public Web site. If you need to retain the FTP service, severely restrict access to it and disable the use of anonymous FTP.

When considering services to enable or disable, administrators typically think of those services that run as processes. This includes, for example, telnet, FTP, DNS, electronic mail, and Web services. However, most of today's systems also provide services directly from the kernel. An example would be a netmask request. That request is typically broadcast onto the local area network, and all systems that see that request answer it, if not otherwise instructed. The kernel of those answering systems is providing the netmask service, more than likely unbeknownst to the administrator of that computer.

1. If programming tools are required, locate them in separate, protected directories. Locate public scripts in a single protected "execute only" directory.
2. Refer to the implementation "Installing, configuring, and operating the secure shell (SSH) on systems running Solaris 2.x" available at <http://www.cert.org/security-improvement/implementations/i062.01.html>.
3. rpc, rdate, rdist, remsch, rlogin, rpcinfo, rsh, rksh, rup, ruptime, rusers, rwho
4. Refer to the implementation "Installing, configuring, and using TCP wrapper to log unauthorized connection attempts on systems running Solaris 2.x" available at <http://www.cert.org/security-improvement/implementations/i041.07.html>.

You need to determine what services are provided by the kernel and what controls the operating system provides to configure those services. These services are frequently not documented and are often not controllable. There is no tool that we know of to test for the presence of such services in a manner similar to the way the strobe tool for UNIX systems tests for services running as processes. The best source of information is the system vendor.

We recommend that you configure computers to offer only the services that your deployment plan specifies they should provide.

➤ *Eliminate any unnecessary open network ports.*

Eliminate unnecessary TCP and UDP network ports on which a server process may listen for incoming client connections. This reduces the risk of attack using these ports. Open network ports can be identified using the netstat command on UNIX and Windows 95/98/NT systems.

➤ *After you make all configuration choices, create and record cryptographic checksums or other integrity-checking baseline information for your critical system software and its configuration.*

Refer to the practice “Generate information required to verify the integrity of your systems and data.” in the module *Preparing to Detect Signs of Intrusion* [Kochmar 98].

Policy considerations

Your organization’s security policy for networked systems should require that

- individual network servers, including public servers, be configured to offer only essential services
- each network service be on a dedicated, single-purpose host where possible

Other information

Refer to *Web Security & Commerce* [Garfinkel 97], pp. 268-270, “Minimizing Risk by Minimizing Services.”

You may need to configure the server differently according to the other features provided by the selected host operating system or environment. For example, certain operating systems provide extensive access control mechanisms that minimize or prevent the possibility of unauthorized access at relatively fine levels of granularity.

Where to find updates

The latest version of this practice, plus implementation details for selected technologies, is available on the Web at URL

<http://www.cert.org/security-improvement/practices/p068.html>

5

Configure computers for user authentication.

An organization's security policy for networked systems should specify that only authorized users may access the computers. To enforce this, you need to configure the computer to authenticate a prospective user, who must prove that they are authorized for such access.

Configuring the computer for authentication usually involves configuring parts of the operating system, firmware, and applications such as the software that implements a network service. If your organization has authentication servers, configuring a new workstation or network server for user authentication may require you to make configuration changes on another computer. In special cases, you may also use authentication hardware such as tokens, one-time password devices, or biometric devices (devices that can recognize a person based on biological characteristics, such as fingerprints or patterns in retinal blood vessels).

Why this is important

Unauthorized users can jeopardize the security of information stored on or accessible from a computer. To prevent this, you must configure the computer to authenticate all users who attempt access.

How to do it

This practice is most effective if you include it as part of the initial installation and configuration of the operating system.

Your deployment plan documents the users or user categories and the approach to authenticating those users. The following steps describe how to implement that part of the plan.

- *Configure the system to use hardware-based access controls, if available.*

If the computer's firmware offers the feature of requiring a password when the system is turned on, enable that feature and set the password. This feature is sometimes known as a BIOS or EEPROM password.

Enabling this feature will require your intervention if the system crashes because you can't configure the computer to restart automatically. This is usually acceptable for workstations because if the user is not present, it is not necessary to restart the computer immediately. However, enabling this feature can present problems for network servers, which normally operate 24 hours a day. When the system crashes, an administrator may not be available to restart the system.

➤ *Remove unneeded default accounts and groups.*

The default configuration of the operating system often includes guest accounts (with and without passwords), administrator accounts, and accounts associated with local and network services. The names and passwords for those accounts are well known. Remove or disable unnecessary accounts to eliminate their use by intruders, including guest accounts on computers containing sensitive information. If you do have the requirement to retain a guest account or group, severely restrict its access and change the password in accordance with your password policy.

For default accounts that you need to retain, change the names (where possible and particularly for administrator accounts) and passwords to be consistent with your password policy. Default account names and passwords for default accounts are commonly known in the intruder community.

➤ *Disable non-interactive accounts.*

Disable accounts (and the associated passwords) that need to exist but do not require an interactive login. For UNIX systems, disable the login shell or provide a login shell with NULL functionality (/bin/false).

➤ *Create the user groups for the particular computer.*

Assign users to the appropriate groups. Then assign rights to the groups, as documented in your deployment plan. This approach is preferable to assigning rights to individual users.

➤ *Create the user accounts for the particular computer.*

Your deployment plan identifies who will be authorized to use each computer and its services. Create only the necessary accounts. Discourage or prohibit the use of shared accounts.

➤ *Check your password policy, and set account passwords appropriately.*

A password policy should address

- length: a minimum length for passwords. It is common to specify a minimum length of eight characters.
- complexity: the mix of characters required. It is common to require passwords to contain both uppercase and lowercase letters and at least one nonalphabetic character.
- aging: how long a password may remain unchanged. It is common to require users to change their passwords periodically (every 30-120 days). The policy should permit users to do so only through approved authentication mechanisms.
- reuse: whether a password may be reused. Some users try to defeat a password aging requirement by changing the password to one they have used before.
- authority: who is allowed to change passwords

➤ *Ensure users follow your password policy.*

Document your password policy, communicate it to users, and train them to always follow the policy.

Configure the password-setting software to reject passwords that don't conform to your policy, if the operating system provides this feature.

If permitted by policy, for UNIX systems you may want to consider using `npasswd`.¹ This tool checks passwords as they are entered by users to ensure compliance with some aspects of your password policy.

Also if permitted by policy, an authorized system administrator can use tools such as `crack`² to review all passwords to determine that they cannot be easily compromised and to ensure compliance with some aspects of your password policy.

➤ *Configure computers to require reauthentication after idle periods.*

This step is most useful for workstations, but consider it for network servers as well, especially if the server will be administered from the console.

Most operating systems include software to display a changing image (screensaver) on a monitor or software (locking screensaver) to power down monitors and disks (energy saver) after a short period of inactivity. This inactivity may indicate that the workstation is unattended though a user is still logged in. Requiring reauthentication when the user returns minimizes the risk of an unauthorized person using an active session while the authorized user is away.

If possible, configure the operating system to terminate a remote or terminal session (log out) and start a locking screen saver after a specified idle period (typically between two and ten minutes depending on the sensitivity of access to the host). If this is not available, acquire and install third-party software to provide this capability.

Consider requiring users to shut down or lock computers when they leave the machine unattended. This prevents a period of vulnerability between the time the user leaves and the time the locking screensaver is activated. Hardware-based authentication systems such as chipcards can be used to lock computers when the user takes the chipcard out of its reader.

➤ *Configure computers to deny login after a small number of failed attempts.*

It is relatively easy for an unauthorized user to try to gain access to a computer by using automated software tools that attempt all passwords.³ If your operating system provides the capability, configure it to deny login after three failed attempts. Typically, the account is “locked out” for a period of time (such as 30 minutes) or until a user with appropriate authority reactivates it.

This is another situation that requires you to make a decision that balances security and convenience. Implementing this recommendation can help prevent some kinds of attacks, but it can also allow a malicious intruder to make failed login attempts to eliminate user access — a denial of service condition. You may not consider this configuration acceptable for network servers because it makes the server unavailable to the authorized user whose account logins failed.

In some cases, you need to distinguish between failed login attempts at the console and those coming in through the network.

1. Refer to the implementation “Installing, configuring, and using `npasswd` to improve password quality on systems running Solaris 2.x.” It is available at <http://www.cert.org/security-improvement/implementations/i028.05>.

2. <ftp://coast.cs.purdue.edu/pub/tools/unix/crack/>

3. The time required to attempt all passwords will depend on network speed and server performance capabilities but an unauthorized user can certainly attempt common passwords in a reasonable period of time.

Failed network login attempts should not prevent an authorized user or administrator from logging in at the console. Note that all failed login attempts should be logged.⁴

- *Install and configure other authentication mechanisms as required by your organization's security plan and policies.*

Consider using other authentication mechanisms such as tokens, one-time password systems, and biometric hardware and software. They can be expensive, but they may be justified in some circumstances.

Passwords passed across a network in clear text can be easily captured by intruders using network sniffers. Consider implementing authentication and encryption technologies such as SSH⁵ and SSL⁶.

- *For network servers, configure the authentication capability of the network service software, if any.*

The authentication capabilities of network service software packages vary. Note that some packages provide their own mechanisms for authenticating users, while others depend on the underlying operating system.⁷ Be sure that both are configured appropriately and that they reinforce and do not conflict with one another.

Policy considerations

Your organization's policy for networked systems should

- describe under what conditions an account is created and deleted. This should include what account actions are taken (disabled, deleted, transferred) and how files are handled when an employee, contractor, or vendor who has an account on your systems no longer works for your organization.
- require appropriate authentication of all users on all computers that can access information resources; this includes authenticating users of network services hosted by your servers
- include an appropriate password policy
- prohibit users from recording and storing passwords in places that could be discovered by intruders

Your organization's acceptable use policy for workstations should require that users shut down or lock their unattended workstations.

4. Refer to the modules *Detecting Signs of Intrusion* [Firth 97] and *Preparing to Detect Signs of Intrusion* [Kochmar 98].

5. Refer to the implementation "Installing, configuring, and operating the secure shell (SSH) on systems running Solaris 2.x." It is available at <http://www.cert.org/security-improvement/implementations/i062.01.html>.

6. Refer to the module *Securing Public Web Servers* [Kossakowski 00], specifically the practice "Configure the Web server to use authentication and encryption technologies, where required." It is available at <http://www.cert.org/security-improvement/practices/p080.html>.

7. Be aware that proprietary, closed authentication mechanisms used by such packages are not necessarily secure.

When writing a password policy, remember that requiring users to have complex passwords may have the undesired result of users writing their passwords on paper that they keep near the computer (often stuck to the machine) or with personal papers (in a wallet, purse, or briefcase). If that paper is observed, lost, or stolen, it creates a potential vulnerability.

If a password policy is especially difficult to follow, it creates in users a desire to find ways around it. This attitude can negatively influence users' compliance with other aspects of security policies.

Where to find updates

The latest version of this practice, plus implementation details for selected technologies, is available on the Web at URL

<http://www.cert.org/security-improvement/practices/p069.html>

6

Configure computer operating systems with appropriate object, device, and file access controls.

Many operating systems provide the capability to specify access privileges individually for files, directories, devices, and other data or code objects. We recommend that you configure the settings on files and other objects to take advantage of this capability and protect information stored on the computer.

Why this is important

By carefully setting access controls, you can reduce both intentional and unintentional security breaches. For example, denying read access helps to protect confidentiality of information, and denying unnecessary write (modify) access can help maintain the integrity of information. Limiting the execution privilege of most system-related tools to authorized system administrators can prevent most users from making configuration changes that could reduce security. It also can restrict the ability of intruders to use those tools to attack the system or other systems on the network.

How to do it

Implement access controls during initial installation and configuration of the operating system and carefully monitor and maintain them thereafter.

► *Identify the protection needed for files, directories, devices, and objects on the computer.*

One method that you can use to identify needed protection is to construct a matrix with categories of files and objects on one axis and groups of users (defined by roles and access authority) on the other. Then record in the matrix the kinds of access privileges allowed for that class of objects and that class of users. The privileges are based on the security requirements (such as confidentiality, integrity, and availability) of the various classes of resources.

For example, you may have file categories that include administrative information (user names, passwords, privileges, etc.), applications, development tools, operating system files, and user data files. The latter may be further subdivided into categories such as customer accounts, inventory records, research data, and management reports. You may have user groups that include system administrators, network service daemons, and users from various departments.

As you begin to identify privileges, you may need to split some rows and columns. This happens, for example, when you discover that a single group of users is really two groups because their need to access a particular resource is not uniform.

You may also want to distinguish local access privileges from network access privileges for a class of files.

Application programs may request and be granted increased access privileges for some of their operations—a change that is not obvious to the users of that application. You may not want all those users to have increased privileges. Therefore, it is important to take great care in assigning privileges to users and groups.

➤ *Create the needed user groups.*

When you take the previous step, you may identify categories of users not sufficiently detailed in the computer deployment plan. Configure the operating system to recognize the needed user groups, and then assign individual users (including network service daemons) to the appropriate groups.

➤ *Configure access controls.*

Configure access controls for all protected files, directories, devices, and other objects, using the matrix created in the first step above as a guide. Every change or decision not to change each object's permission should be documented along with the rationale.

Disable write/modify access permissions for all executable and binary files.

Restrict access of operating system source files, configuration files, and their directories to authorized administrators.

For UNIX systems, there should be no world-writable files unless specifically required by necessary application programs. For NT systems, there should be no permissions set such that “the Everyone group has Modify permissions to files.”

For UNIX systems, if possible, mount file systems as read only and nosuid to preclude unauthorized changes to files and programs.

Assign an access permission of immutable to all kernel files if it is supported by the operating system (such as Linux).

Establish all log files as “append only” if that option is available.

As a goal, preclude users from installing, removing, or editing scripts without administrative review. We realize this is difficult to enforce.

Pay attention to access control inheritance when defining categories of files and users. Ensure that you configure the operating system so that newly created files and directories inherit appropriate access controls, and that access controls propagate down the directory hierarchies as intended when you assign them.

Many of an administrator's security directives can be overridden on a per-directory basis. The convenience of being able to make local exceptions to global policy is offset by the threat of a security hole being introduced in a distant subdirectory — which could be controlled by a hostile user. Administrators should disable a subdirectory's ability to override top-level security directives unless that override is required.

➤ *Install and configure file encryption capabilities for sensitive data.*

Some operating systems provide optional file encryption; there are also third-party file-encryption packages available. These may be useful if the operating system's access controls are insufficient for maintaining the confidentiality of file contents. This can be the case if the operating system provides few or no access control features, or when the relationships among categories of files and categories of users are so complex that it would be difficult to use only access controls to administer the security policy.

Encryption adds complexity, so you need to weigh the requirement for its use against the cost of using it.

The security provided by strong access controls is further enhanced by the use of encryption. However, when you use encryption, you must still dispose of unencrypted versions of the data

- that existed prior to encryption being performed
- that remain after decrypting
- that is used in the encryption process

Note that this recommendation pertains only to encryption of files stored on the computer itself. Encryption of information for transmission over a network is a separate issue that is not within the scope of this practice.

Policy considerations

Your organization's security policy for networked systems should specify

- access privileges and controls for the information that will be stored on computers
- how access to files that have been encrypted with a user key is performed. This is very important when that user no longer works for your organization.

Other information

Some operating systems provide more than one file system with different access control capabilities. It is important to choose the file system that best meets your needs for file access control. Your decision may affect the low-level formatting of storage devices and thus should be made early in the process of configuring the operating system.

Where to find updates

The latest version of this practice, plus implementation details for selected technologies, is available on the Web at URL

<http://www.cert.org/security-improvement/practices/p070.html>

7 ***Identify and enable system and network logging mechanisms.***

Collecting data generated by system, network, application, and user activities is essential for analyzing the security of these assets and detecting signs of intrusion. Log files contain information about past activities. Different systems provide various types of logging information; some systems do not collect adequate information in their default condition. You should identify the types of logs and logging mechanisms available for each system asset (system logs, file access logs, process logs, network logs, application-specific logs, etc.), identify the data recorded within each log, and then enable the collection of the desired data.

Why this is important

Log files are often the only record of suspicious behavior. Failure to enable the mechanisms to record this information and use them to initiate alert mechanisms will greatly weaken or eliminate your ability to detect intrusion attempts and to determine whether or not they succeeded. Similar problems can result from not having the necessary procedures and tools in place to process and analyze your log files.

You may need your logs to

- alert you that something suspicious that requires further investigation has occurred
- determine the extent of an intruder's activity
- help you recover your systems
- provide information required for legal proceedings

How to do it

- *Identify the information to be logged.*

Identify

- types of information you can log
- mechanisms used for logging
- locations where the logging is performed
- locations where the log files are stored

A table of log categories and types of log information within each category is shown below. You may want to use this list as a guide to the types of information to log (although not all systems are able to log every type on the list). Tailor logging selections to meet your site's needs.

Log Category	Types of information to log
Users	<ul style="list-style-type: none"> • Login/logout information: location and time of failed attempts, attempted logins to privileged accounts • Changes in authentication status, such as enabling privileges
Processes	<ul style="list-style-type: none"> • Real and effective user executing the process • Process start-up time, arguments • Process exit status, time, duration, resources consumed
Systems	<ul style="list-style-type: none"> • Actions requiring special privileges • Status/errors reported by hardware and software subsystems • Changes in system status, including shutdowns and restarts
Networks	<ul style="list-style-type: none"> • Service initiation requests • The name of the user/host requesting the service • Network message traffic (packets) • New connections • Connection duration • Connection flow
File Systems	<ul style="list-style-type: none"> • Changes to access control lists and file protections • File accesses (opening, creating, executing, deleting)
Applications	<ul style="list-style-type: none"> • Applications- and services-specific information (e.g., mail logs, FTP logs, Web server logs, modem logs, firewall logs, SNMP logs)

If possible, do not log passwords, even incorrect ones. Logging correct passwords creates an enormous potential vulnerability if a non-authorized user or intruder accesses audit records. Recording incorrect passwords is also risky as they often differ from valid passwords by only a single character or transposition. Turning off password logging may require resetting a system default. If you cannot turn off password logging, you need to exercise special care in protecting access to log files that contain this information, as described in the last two steps in this practice.

You may want to log data about password use such as the number of failed attempts, accesses to specific accounts, etc.

- *Determine if the logging mechanisms provided with your systems and networks sufficiently capture the required information.*

Determine the logging mechanisms available for the platforms at your site, how the log files are named, and where they are located. The names of these log files can differ even among versions of the same operating system delivered by a single vendor, so it is important that you verify this each time you upgrade your systems.

Identify what types of information each logging mechanism can capture. The combination of mechanisms should capture the information identified in the table categories noted above. There may be differences in the log file contents provided by different vendors, even for similar types of systems.

If the logging mechanisms provided by your vendor are insufficient to capture the data you need, use other tools to capture the additional information. You may need to develop these.

➤ *Enable logging.*

Using the logging mechanisms provided by the vendor and any supplemental tools, enable all logging that you have selected from the previous step. For help, refer to the administration documentation for your systems to learn how to enable each of the logging mechanisms and refer to documentation for other tools used during setup. This documentation will specify whether these mechanisms need to be enabled only once, each time the system is rebooted, or at regular intervals during the system's normal operation. Some logging mechanisms let you select different levels of detail.

Pay attention to the location of the log data: some tools allow you to specify a file or directory where the data is logged while others write their data to a predefined default location. Make sure that you have sufficient space for the data that is generated. Ensure that the logged data is protected, based on previously determined ACLs (access control lists) and your security policy.

Be aware that multiple logging mechanisms may contribute log records to a single log file, such as syslog in UNIX systems. This is specified within your system configuration file.¹

➤ *Protect logs to ensure they are reliable.*

To protect sensitive information, ensure that log files are protected from being accessed or modified by unauthorized users. Confirm that only authorized users can access utilities that reconfigure logging mechanisms, turn the utilities on and off, and write to, modify, and read log data.

It is important to collect and archive log files so that they cannot be accessed by an intruder to remove or alter signs of an intrusion or add erroneous information. Use the following methods to ensure log files are not modified:

- Log data to a file on a separate host that is dedicated solely to log collecting. The log host should reside in a physically secure location that is not easily accessible from the network. For example, capturing log data using a computer via a dedicated serial line provides a way of storing the log files more securely than if they were written on the logging host's disks.
- Log selected data to a write-once/read-many device (such as CD-ROM or a specially configured tape drive) or to a write-only device (such as a printer) to eliminate the possibility of the data being modified once it is written.
- If supported by your systems, set selected log file attributes that enable only new information to be appended to the log files (i.e., new records can be added, those already recorded cannot be modified).
- Encrypt log files, particularly those that contain sensitive data or those being transmitted across a network.

Logging directly to disk on the local host is easiest to configure and allows instant access to file records for analysis, but it is also the least secure. Collecting log files on a write-once device requires slightly more effort to configure but is more secure. However, data is not as easily accessed and you need to maintain a supply of storage media.

1. Refer to the implementation "Understanding system log files on a Solaris 2.x operating system" available at <http://www.cert.org/security-improvement/implementations/i041.12.html>.

Printing the logging results is useful when you require permanent and immediate log files, but printed logs can be difficult to search, require manual analysis, and require a potentially large storage space.

When the host generating the logging data is different from the host recording it, you must secure the path between them. For environments where short distances separate the generating host from the recording host, you can connect them with single point-to-point cable(s). For environments where this approach is not practical, minimize the number of networks and routers used to make the connection or encrypt sensitive log data as it is generated.

You need to prepare systems that perform logging to ensure that they do not stop functioning in the event of a logging denial-of-service attack. A UNIX example would be an intruder launching an attack that fills up the syslog files so that when the logging partition is full, logging ceases. Two means of preparation are creating separate file partitions for different log information and filtering network messages to decrease the likelihood of such attacks.

► *Document your management plan for handling log files.*

Handle the total volume of logged information. We recommend that you log as much as possible for your systems and networks. While log files can consume a great deal of storage very quickly (which is relatively inexpensive), it is difficult to anticipate which logs will be critical in the event of an intrusion. Based on your log collection and storage approach, you may want to compress log files to allow them to remain accessible online for easier review and to conserve space.

Determine what logging data is most useful to collect. However, you need to balance the importance of recording system, network, and user activities with the resources available to store, process, review, and secure them. Questions that help you determine the usefulness of logging data include

- What is the host's sole or primary purpose? For example, if a host is acting as a Web server, you want to capture Web logs.
- How many users are assigned to the host or system and how important is it for you to know who is logged on? This helps you decide how much login/logout information to capture.
- How important is it to be able to use your logs to recover a compromised system? This helps you set the priority for capturing information such as data and file transaction logs.
- What are the range of services that can be performed on this host or system? Process accounting information is useful to detect unauthorized services and intruder actions.
- What is your organization's ability and capacity to process and analyze all collected logs to obtain useful information when it is needed?

Rotate log files. This activity consists of

- making a copy of the active (online) log files at regular intervals (ranging from daily to weekly)
- renaming the files so information contained in the file is not further augmented
- resetting file contents
- verifying that logging still works

Rotating log files allows you to limit the volume of log data you have to examine at any given time. It also allows you to keep log files open for a limited duration so that damage is bounded if an active log file is compromised. In this way, you create a collection of log files that contain well-defined time intervals of recorded data. You can then consolidate logs from different systems by matching time intervals.

This will help you gain a network-wide perspective on the activities. To perform this consolidation, you will likely need to merge log files from different systems into a central log file. To avoid having to adjust the timestamps used in each, use a master clock system such as Timeserver, NTP (Network Time Protocol), or another time synchronization protocol system.

Back up and archive log files. Move your log files to permanent storage or capture them as part of your regular backup procedure if you want to retrieve them later if the need arises. Document the method you use to access archived log files. Create backups before you execute any automated tools that truncate and reset the log files so that minimal logging data is lost.

Encrypt log files. We recommend encrypting log files that contain sensitive data as the log data is being recorded. Protect the encryption software and place a copy of your encryption keys on a floppy disk or write-only CD-ROM in a secure location such as a safe or safety deposit box. If the keys are lost, the log files cannot be used. If possible, use public key encryption. The logs can be encrypted using the public key (which can be safely stored online) and the corresponding private key (stored offline) can then be used to decrypt the logs.

Ensure that you have the system and personnel resources necessary to analyze logs on a regular basis and on demand (i.e., in some cases, daily, and as alert events occur).

Dispose of log files. Ensure that all media containing log file data are disposed of in a secure manner (e.g., shredding hardcopy output, sanitizing disks, destroying CD-ROMs).

Policy considerations

Your organization's security policy for networked systems should require that you document a management plan for handling log files. This plan should include what to log, when and why to log, where to log, and who is responsible for all aspects of the plan.

Other information

See the security improvement modules *Detecting Signs of Intrusion* [Firth 97], *Preparing to Detect Signs of Intrusion* [Kochmar 98], and *Responding to Intrusions* [Kossakowski 99] for information on log filtering, analysis, and alerting approaches.

Where to find updates

The latest version of this practice, plus implementation details for selected technologies, is available on the Web at URL

<http://www.cert.org/security-improvement/practices/p041.html>

8

Configure computers for file backups.

Before deploying a computer, you need to develop a file backup and restoration plan and configure the computer to implement that plan.

Why this is important

File backups allow you to restore the availability and integrity of information resources following security breaches and accidents. Without a backup, you may be unable to restore a computer's data after system failures and security breaches.

How to do it

- *Develop a file backup and restoration plan.*

All system and user files should be backed up on a regular basis. You may need to perform a cost/benefit analysis to help you decide upon the balance between the following: the speed of the backup process, the amount of storage needed for the backed-up files, and the effort required to restore one or all files from backed-up versions. Develop a plan that is broad enough to cover all the workstations and servers you plan to deploy.

If you have regularly created cryptographic checksums for all files¹ and have securely stored these checksums, you can plan to restore files from trusted backups against which such checksums have been calculated.

If this is not the case, you need to restore system, executable, and binary files from the original distribution media. Then you must reinstall site-specific modifications, relevant patches, and bug-fixes. You need to ensure that these modifications do not introduce additional defects or vulnerabilities. Exercise caution when restoring user files from untrusted backups and instruct all users to check for any unexpected changes to their restored files.

For workstations, there are two common approaches:

- Files are backed up locally at each workstation, often by the user(s) of that workstation. This approach has the advantage of not requiring that protected data traverse the network, which reduces the chances of it being monitored, intercepted, or corrupted. It has the disadvantages of requiring additional storage devices on each workstation, causing increased efforts to keep the many backup devices and media secure, and requiring that you train users to perform the backups.

1. Refer to the practice “Keep operating systems and applications software up to date.”

- Backups are centrally administered, with data copied from workstations via networks. Encryption tools such as SSH² can be used to protect data passing from a user workstation to a central backup host.

For network servers that provide information services that depend on automatic replication mechanisms³, a third approach is often used. The authoritative version of the information content of the server is created and maintained on a secure machine that is backed up. The information is periodically transferred to the server for access by clients. If the server is compromised and its content damaged, the information can be reloaded from the secure system maintaining the authoritative version. This approach is typically used for public servers, such as DNS, FTP, and Web servers⁴, because the content changes at more predictable intervals than, for example, a server that provides e-mail and file sharing services to user workstations (which requires a backup approach similar to those described above for workstations).

Determine the appropriate medium to contain your backup files based on your requirements for speed (for both reading and writing), reliability, and storage duration. Media you should consider include magnetic tape, optical disk, and CD-ROM.

If you choose central administration and storage of backed-up files, ensure that the backup tools adequately protect data confidentiality and integrity as it travels across the network from the host to the backup device. We recommend that you use encryption technologies.

The plan should specify that

- source data is encrypted before being transmitted over a network or to the storage medium
- data remains encrypted on the backup storage media
- storage media are kept in a physically secure facility that is protected from man-made and natural disasters

The plan should be designed to ensure that backups are performed in a secure manner and that the contents of the backups remain secure.

➤ *Install file backup tools.*

Select file backup tools to allow you to implement your backup plan. You may need to use third-party software, although the backup capabilities of some operating systems are likely to be sufficient. You may also need to install storage devices, either centrally or on each workstation and server, to store the backup copies.

The tools used to recover backed-up files should be kept offline, rather than on individual workstations and servers. If a computer has been compromised and you need to recover a file, you cannot trust the integrity of any of the tools on that computer.

➤ *Configure the backup tools and initiate the scheduled backups.*

Tool configurations need to reflect your backup and restoration plan. Configure the tools to save access control settings along with file contents, if that feature is available.

2. Refer to the implementation “Installing, configuring, and operating the secure shell (SSH) on system running Solaris 2.x,” available at <http://www.cert.org/security-improvement/implementations/i062.01.html>.
3. The information is treated as though it resides on a WORM device — write once, read many.
4. This approach is elaborated in the security improvement module *Securing Public Web Servers* [Kossakowski 00].

Do the first full backup just before deploying the computer, and then confirm that you can perform a full restoration from that backup (refer to the step *Test the ability to recover from backups* below).

- *Confirm that the scheduled backups are being performed successfully.*

In many organizations, file backups are completely automated, so system administrators tend to forget that they are happening. Therefore, confirm that the backup procedures for a newly deployed workstation are actually working.

- *Test the ability to recover from backups.*

For many system administrators, recovering a file from a backup is an uncommon activity. This step assures that if you need to recover a file, the tools and procedures will work.

Performing this test periodically will help you to discover problems with the backup procedures so you can correct them before losing data.

Some backup restoration software does not accurately recover the correct file protection and file ownership controls. Check these attributes of restored files to ensure they are being set correctly.

Periodically test to ensure that you can perform a full system recovery from your backups.

Policy considerations

Your organization's security policy for networked systems should

- require the creation of a file backup and recovery plan
- inform users of their responsibilities (if any) for file backup and recovery

Other information

Be aware that file backups taken from compromised machines may contain damaged files, services, or other information left behind by an intruder (back doors, Trojan horses). Exercise caution when you use these backups to restore your computers.

Refer to the practices "Eliminate all means of intruder access" and "Return systems to normal operation" in the security improvement module *Responding to Intrusions* [Kossakowski 99] for a discussion of approaches to consider when you are choosing backup methods.

Where to find updates

The latest version of this practice, plus implementation details for selected technologies, is available on the Web at URL

<http://www.cert.org/security-improvement/practices/p071.html>

9

Protect computers from viruses and similar programmed threats.

There are several kinds of software that can surreptitiously breach computer security. These can occur individually or in combination with one another.¹

- virus: a code fragment (not an independent program) that reproduces by attaching to another program. It may damage data directly, or it may degrade system performance by taking over system resources, which are then not available to authorized users.
- worm: an independent program that reproduces by copying itself from one system to another, usually over a network. Like a virus, a worm may damage data directly, or it may degrade system performance by consuming system resources and even shutting down a network.
- Trojan horse: an independent program that appears to perform a useful function but that hides another unauthorized program inside it. When an authorized user performs the apparent function, the Trojan horse performs the unauthorized function as well (often usurping the privileges of the user).

You should configure computers to take countermeasures against these threats. In addition, you should establish policies and train users to help prevent these programmed threats from being installed on their workstations.

Why this is important

Programmed threats can cause significant damage. Your confidential information can be captured and transmitted, critical information can be modified, and the configuration of a computer can be changed to permit subsequent unauthorized access, leading to intrusions. Services provided by your organization can be interrupted for extended periods of time, your users and customers may lose confidence in your organization's ability to protect its information, and you can experience legal ramifications if your systems are used as launch points for broader distribution of programmed threat software.

Recovering from programmed threats can be expensive. Installing preventive measures and instituting user training can significantly reduce your exposure to these threats at a fraction of the cost it would take to recover from them.

How to do it

- *Develop a plan for protecting computers from viruses and similar programmed threats.*

The plan should specify how much responsibility and authority users and system administrators should have to take specific actions to protect their computers against viruses and similar programmed threats.

1. Definitions are adapted from Deborah Russell and G. T. Gangemi, Sr., *Computer Security Basics*, O'Reilly & Associates, Inc., 1991.

In the plan, describe how users should use the available anti-virus tools for workstations, and describe any limitations on the authority of users to download and/or install new software.

➤ *Install and execute appropriate anti-virus tools.*

Install selected anti-virus tools on your computers following a clean reboot and a full system check. This is most effective if you perform it as part of the initial installation and configuration of the operating system.

Regularly check for viruses using the online version of tools.

Store copies of anti-virus tools offline, in a secure manner. It is possible that a new virus could modify the detection and eradication software to prevent its own detection.

Periodically use off-line, trusted copies of the tools to scan your systems, particularly after new anti-virus signatures are added (see the last step below).

Regularly exercise anti-virus tools on all network servers and gateways. This can be done individually or from a central administration console.

➤ *Train users in virus prevention and recognition techniques.²*

Train users to understand how viruses and other programmed threats propagate and what they can do to help prevent further propagation. This includes training them to use virus detection tools on software obtained from public sources (such as shareware) prior to loading and executing it and training them to be alert to the possibility of such threats in email attachments and downloaded Web content.

Many viruses manifest themselves in predictable ways. Train users to recognize virus symptoms, report them, and run appropriate virus eradication tools (if your plan permits them to use these tools).

Keep users apprised of new programmed threats and related intrusion scenarios.

➤ *Routinely check for and update programmed threat detection tools as needed, especially when new threats are discovered.*

Many anti-virus tools use a database of known virus characteristics (signatures). Vendors frequently release updated versions of those databases on a weekly or monthly basis. Ensure that your computers have the most recent versions. Updating your anti-virus tools using vendor updates as they become available is one of the primary methods to prevent virus infections.

Policy considerations

Your organization's workstation acceptable use policy or security policy for networked systems should

- define users' authority (or lack thereof) to download and/or install software on the computer
- specify who has the responsibility to scan for viruses and eradicate them — users or system administrators — and where to scan to include workstations, servers, and gateways

2. Note that this step is primarily applicable to workstations rather than network servers. For servers, the administrator is responsible for virus detection and eradication.

- prohibit users from running executable files that they have received as e-mail attachments or downloaded from untrusted sites. If such a file needs to be run, it should be run on a host that is isolated from your operational systems. The host should not contain sensitive information, and the file should be run through virus detection tools. In addition, you need to verify the file originator.

Where to find updates

The latest version of this practice, plus implementation details for selected technologies, is available on the Web at URL

<http://www.cert.org/security-improvement/practices/p033.html>

10

Configure computers for secure remote administration.

Administration of a workstation or network server includes updating user account information, examining the logs, installing new or updated software, and maintaining an appropriate configuration. These tasks can be performed locally from the workstation or server console, but they can also be performed remotely from a separate host via a network connection.

Although local administration is more secure (and we recommend it whenever feasible), remote administration is more common, particularly when servicing a large number of workstations or network servers (such as print servers and file servers). When performing remote administration, you need to consider the security of the administration host, the network, and the workstation or server being administered.

Why this is important

Remote administration of computers is increasingly common because of the significant cost benefits—many tasks can be automated, and the administrator does not have to physically visit each computer. However, remote administration tools must be configured to operate securely.

Although the normal operational state of your computer may be secure, during the performance of administrative tasks, your computer may be in a transient vulnerable state. This is especially true for remote administration of public servers that have been placed outside your firewall, because this requires that you open a network connection through the firewall. Such a connection may be vulnerable to some forms of attack, and it may open the door to anyone on the Internet being able to “administer” your server. The result could be the loss of confidentiality or integrity of information resources on the server, an intruder gaining access to resources on your internal network, or an intruder being able to use your server or workstation as an intermediate host for attacks on other internal or external hosts.

How to do it

- *Ensure that the computer accepts administration commands only from an authenticated administrator.*

Configure the computer to use a strong method to authenticate the identity of the user who is initiating the administrative processes. In particular, avoid authentication methods that require the transmission of a password in clear text, unless it is a one-time password.

- *Ensure that the computer allows administration from only an authenticated host.*

Authenticate the host in a manner that does not depend on network-resolved information such as IP addresses or DNS names, because intruders can falsify such information within packets sent to computers being administered. We recommend the use of public key authentication using a tool such as secure shell (SSH).¹

See also the module *Securing Public Web Servers* [Kossakowski 00], specifically the practice “Configure the Web server to use authentication and encryption technologies, where required.” This practice briefly covers SSL (Secure Socket Layer), S/HTTP (Secure HTTP), and SET (Secure Electronic Transaction) for use with public Web servers.

- *Ensure that all administration tasks operate at the minimum necessary privilege level.*

Administration tasks sometimes require increased privilege levels. Take care to raise privilege levels only as needed.

Consider separation of duties among administrators which will allow you to assign privilege levels as needed. This eliminates the risk of one administrator becoming a single point of vulnerability.

- *Ensure that confidential information cannot be intercepted, read, or changed by intruders.*

This includes administration commands and system configuration information.

Methods such as encryption help to ensure that network packets travelling between the administrator’s host machine and the computer being administered would not, if intercepted, provide sensitive information or permit system commands to be altered. Such actions could allow subsequent access to either the computer or your organization’s internal network. We recommend the use of SSH¹ or an equivalent encryption tool.

- *Use a movable storage medium to transfer information from the authoritative copy to public servers outside your firewall.*

For some network servers, particularly those providing public services such as WWW, it is common to develop the information content of those services on a different host machine. The authoritative version of that content is maintained (and backed up) on that other machine, and then transferred to the public server at appropriate intervals. The transfer can be performed by using a movable storage medium. This could include a writable CD-ROM, diskette, hard disk cartridge, or tape. Since this procedure does not require a network connection through your firewall, it is more secure.

If a network connection is required, use an encrypted, authenticated VPN connection.

During the transfer, you may need to stop or disable your server. Some servers can be configured to continue operating and to send a “Service temporarily unavailable” message in response to all requests.

Do not use a transfer method that mounts a file system from a host inside the firewall on a public server host (such as a Web server) using NFS. There are inherent problems in the NFS protocol that could make that internal host vulnerable to attack.

1. Refer to the implementation “Installing, configuring, and operating the secure shell (SSH) on systems running Solaris 2.x” available at <http://www.cert.org/security-improvement/implementations/i062.01.html>.

Correspondingly, do not use an NFS-based transfer method in the opposite direction (from public server to internal host). This could result in making your public server vulnerable to attack.

- *Use a secure method for inspecting all log files.*

If you choose to inspect the computer log files

- on a host other than the computer that generated the logs, use a secure method for transferring these logs. Movable storage media and file encryption are two suitable methods.
 - by remotely accessing the computer from another host, use appropriate authentication and encryption technologies as described above
 - by remotely accessing a central log host that contains all log files, use appropriate authentication and encryption technologies as described above
- *After making any changes in a computer's configuration or in its information content, create new cryptographic checksums or other integrity-checking baseline information for your server.*

See the modules *Detecting Signs of Intrusion* [Firth 97] and *Preparing to Detect Signs of Intrusion* [Kochmar 98] for additional information on the role of checking the integrity of baseline information in support of intrusion detection.

Policy considerations

Your organization's security policy for networked systems should

- require the use of secure procedures for administration of network servers and workstations
- specify the circumstances under which third parties (vendors, service providers) are permitted to remotely administer your systems and how such administration is to be conducted.²

Where to find updates

The latest version of this practice, plus implementation details for selected technologies, is available on the Web at URL

<http://www.cert.org/security-improvement/practices/p073.html>

2. Refer to the module *Security of Information Technology Service Contracts* [Allen 98].

11

Allow only appropriate physical access to computers.

In addition to the steps you take to prevent inappropriate electronic access to a computer, you should also strive to allow only appropriate physical access. What this means can vary depending on the locations of computers—whether they are in locked offices or in open-plan space, for example.

Physical access also includes activities such as installing or removing hardware.

Why this is important

If unauthorized persons can physically access a computer, the integrity of that system is at considerable risk. If a system is connected to internal networks, then intruders can access resources in a way that bypasses all of your network perimeter defenses.

To preserve the confidentiality and availability of data, you must prevent the computer and its storage media from being removed from the facility by unauthorized persons.

If new hardware, such as a modem, is installed it may create new electronic access paths to the computer and make your network available to intruders.

How to do it

- ▶ *Prevent installation of unauthorized hardware and modification of authorized hardware.*

Installation of new hardware can lead to security problems in several ways:

- Installing a modem allows a direct connection from the computer to the public telephone network, which may then permit electronic access into your network from anywhere in the world, bypassing your perimeter defenses.
- Installing a removable-media storage device or printer makes it easy to copy information and carry it away from your site.
- Installing a boot device that precedes the authorized device in the boot sequence allows the computer to be restarted in a configuration that bypasses your security precautions.

You should lock the computer case, if possible. This may require third-party locking devices such as keys, cables, or racks. If a key is used, ensure that the key is protected, yet still accessible to authorized users. Make a backup key and protect it in a secure offsite location.

You may also want to remove or disable the external connectors on the computer.

► *Deploy the computer in a secure facility.*

Deploying the computer in a secure facility helps to prevent unauthorized access to the computer, theft, and destruction. Methods of secure deployment may include using surveillance cameras or placing the computer in a locked room that uses controlled physical or electronic access which is recorded. Pay special attention to controlling the access of vendors, contractors, and other visitors.

As a general rule, do not deploy network servers in an individual's office.

Locate the computer so unauthorized viewing of the monitor and keyboard cannot occur.

Provide additional shielding against electronic eavesdropping or interference, if required.

Secure the network wiring and other network connection components.

For security purposes, ensure that the network cabling is not placed in a physical location where it can be easily accessed. Note that this requires you to trade the convenience of access for network maintenance for greater security.

Policy considerations

Your organization's security policy for networked systems should

- specify who is or is not allowed to install new hardware or modify existing hardware in a computer
- specify the circumstances under which users may or may not use storage devices with removable media
- specify the circumstances under which users may take storage media or printed information away from your site
- require that network servers be deployed in physically secure locations
- specify the circumstances under which third parties (vendors, service providers) are permitted to physically access your systems and how such access is to occur.¹

Other information

If you need to protect against unauthorized monitoring, eavesdropping, or interference of electronic emanations coming from your computing equipment, you may need to consider physical protection technologies such as TEMPEST (Transient Electromagnetic Pulse Emanation Standard). Refer to <http://www.dewsite.com/fyeo/tempest.html#Government> and <http://www.eskimo.com/~joelm/tempest.html> for further information on TEMPEST.

Where to find updates

The latest version of this practice, plus implementation details for selected technologies, is available on the Web at URL

<http://www.cert.org/security-improvement/practices/p074.html>

1. Refer to the module *Security of Information Technology Service Contracts* [Allen 98].

REPORT DOCUMENTATION PAGE

Form Approved
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

1. AGENCY USE ONLY (leave blank)		2. REPORT DATE April 2000	3. REPORT TYPE AND DATES COVERED Final
4. TITLE AND SUBTITLE Securing Network Servers			5. FUNDING NUMBERS C — F19628-95-C-0003
6. AUTHOR(S) Julia Allen, Klaus-Peter Kossakowski, Gary Ford, Suresh Konda, Derek Simmel			
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Software Engineering Institute Carnegie Mellon University Pittsburgh, PA 15213			8. PERFORMING ORGANIZATION REPORT NUMBER CMU/SEI-SIM-010
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) HQ ESC/XPK 5 Eglin Street Hanscom AFB, MA 01731-2116			10. SPONSORING/MONITORING AGENCY REPORT NUMBER
11. SUPPLEMENTARY NOTES			
12.a DISTRIBUTION/AVAILABILITY STATEMENT Unclassified/Unlimited, DTIC, NTIS			12.b DISTRIBUTION CODE
13. ABSTRACT (maximum 200 words) <p>The development of computer networks has resulted in an important class of computers: network servers. The primary purpose of these machines is to provide services, including both computational and data services, to other computers on the network.</p> <p>Because of their service role, it is common for servers to store many of an organization's most valuable and confidential information resources. They also are often deployed to provide a centralized capability for an entire organization, such as communication (electronic mail) or user authentication. Security breaches on a network server can result in the disclosure of critical information or the loss of a capability that can affect the entire organization. Therefore, securing network servers should be a significant part of your network and information security strategy.</p> <p>Many security problems can be avoided if servers and networks are appropriately configured. Default hardware and software configurations are typically set by vendors to emphasize features and functions more than security. Since vendors are not aware of your security needs, you must configure new servers to reflect your security requirements and reconfigure them as your requirements change.</p> <p>The practices recommended here are designed to help you configure and deploy network servers that satisfy your organization's security requirements. The practices may also be useful in examining the configuration of previously deployed servers.</p>			
14. SUBJECT TERMS network security, securing servers, computer networks, servers, security, computer security, configuring servers and networks, network configuration, server configuration			15. NUMBER OF PAGES 54
			16. PRICE CODE
17. SECURITY CLASSIFICATION OF REPORT UNCLASSIFIED	18. SECURITY CLASSIFICATION OF THIS PAGE UNCLASSIFIED	19. SECURITY CLASSIFICATION OF ABSTRACT UNCLASSIFIED	20. LIMITATION OF ABSTRACT UL

